

RPA Robot Security Questionnaire

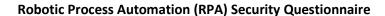
Please provide information based on the RPA Robot business use case. This is filled out by the business unit that is using the RPA Robot at the start of the project, for any RPA Robot enhancements, and annually for security and privacy review.

Question	RPA Robot Team Response	Division ISO Comments
Who is the Business Process Owner (prod Administrator, Process Developers, Robo for this Robot?		
2. Which division does this belong to?		
3. Please describe the Robot Use Case (can documentation)	insert links to	
4. Please provide network architecture and diagram for the Robot use case (can inseducumentation)		
5. Please provide a Privacy Threshold Assest for the data that is involved in this Robot		
6. Is this an attended or unattended Robot?		
7. Is this Robot intended to access application PII/PHI?	ons with	
8. Which application(s) does this Robot inte	eract with?	
9. Have the application's System Owners ap Robot based use case?	oproved the	
10. Has a change management process been with the above applications to ensure the in sync with the application interfaces us	at Robots are	
11. Has the Robot behavior been tested to en behaves predictably as per the document and data flows?		
12. What is the backout plan if Robot is imple not behaving as expected?	emented and	



Robotic Process Automation (RPA) Security Questionnaire

13. Does this Robot interact with applications outside	
DHHS? If so, have you obtained their authorization?	
Who is the external contact?	
14. Is the Robot behavior expected to be static (rules	
based) or dynamic (AI based)? If dynamic, have all	
potential changes to behavior been	
documented/reviewed?	
15. What credentials does this Robot use (e.g.,	
NCID/EADS/AD/Local)? Are they unique to this Robot?	
Please confirm that human identities are not used by	
Robot.	
16. Where are the Robot credentials stored? Who has	
access to them? Who will keep them updated when	
they expire?	
17. Does this Robot interact with applications that are	
hosted/managed by an organization outside DHHS? If	
yes, has the hosting provider approval been received?	
18. Does this Robot publish any data to recipients outside	
DHHS? If so what is the sensitivity of that data?	
19. What is the level of access for this Robot (admin, user,	
machine)	
20. Who is responsible for monitoring the RPA	
infrastructure and Robot logs?	
21. What safeguards are in place to prevent Denial of	
Service by this Robot?	





RPA Platform Security Questionnaire

For a new or enhanced RPA Platform/Technology, please provide information below. This is filled out by the RPA Platform owner at the start of the project, for platform enhancements and annually for security and privacy review.

Question	RPA Platform Team Response	PSO Comments
1. Who is the RPA Platform Owner?		
2. Please describe the RPA Platform technology and provide architecture and security documentation		
3. Please provide the security documentation in <u>this</u> checklist		
4. Please provide documentation on how the RPA platform addresses the DHHS security policy requirements		