

**NORTH CAROLINA  
SAFETY ASSESSMENT**

**Case Name:** \_\_\_\_\_ **Case #:** \_\_\_\_\_ **Current Date:** \_\_\_\_\_

**County Name:** \_\_\_\_\_ **Date Report Received:** \_\_\_\_\_

**Worker Name:** \_\_\_\_\_

**Children:** \_\_\_\_\_

**Primary Caregiver:** \_\_\_\_\_ **Secondary Caregiver:** \_\_\_\_\_

**SECTION 1: SAFETY ASSESSMENT**

**Part A. Safety Factor Identification**

Directions: The following is a list of factors that may be associated with a child(ren) being in immediate danger of serious harm. **Identify the presence or absence of each by circling either "yes" or "no."** Note: The vulnerability of each child needs to be considered throughout the assessment. Younger children and children with diminished mental or physical capacity or repeated victimization should be considered more vulnerable. Complete based on most vulnerable child for each factor.

1. Yes No Caregiver's current behavior is violent or out of control.
2. Yes No Caregiver describes or acts toward child in predominantly negative terms or has extremely unrealistic expectations.
3. Yes No Caregiver caused serious physical harm to the child or has made a plausible threat to cause serious physical harm.
4. Yes No The family refuses access to the child, there is reason to believe that the family is about to flee, and/or the child's whereabouts cannot be ascertained.
5. Yes No Caregiver has not, or will not, provide supervision necessary to protect child from potentially serious harm.
6. Yes No Caregiver is unwilling, or is unable, to meet the child's immediate needs for food, clothing, shelter, and/or medical or mental health care.
7. Yes No Caregiver has previously maltreated a child and the severity of the maltreatment, or the caregiver's response to the previous incident(s), suggests that child safety may be an immediate concern.
8. Yes No Child is fearful of caregiver(s), other family members, or other people living in or having access to the home.
9. Yes No The child's physical living conditions are hazardous and immediately threatening.
10. Yes No Child sexual abuse is suspected and circumstances suggest that child safety may be an immediate concern.
11. Yes No Caregiver's drug or alcohol use seriously affects his/her ability to supervise, protect, or care for the child.
12. Yes No Other (specify): \_\_\_\_\_

**DIRECTION: IF NO SAFETY FACTORS ARE PRESENT IN SECTION 1: PART A, GO TO SECTION 3: SAFETY DECISION**

**Part B. Safety Factor Description**

Directions: For all safety factors which are marked "yes," note the applicable safety factor number and then briefly describe the specific individuals, behaviors, conditions, and/or circumstances associated with that particular safety factor.

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Case Name: \_\_\_\_\_ Case #: \_\_\_\_\_

**SECTION 2: SAFETY RESPONSE**

Directions: For each factor identified in Section 1, consider the resources available in the family and the community that might help to keep the child safe. Check each response taken to protect the child and explain below. Describe *all* safety interventions taken or immediately planned by you or anyone else, and explain how each intervention protects (or protected) each child.

- \_\_\_\_\_ 1. Use family resources, neighbors, or other individuals in the community as safety resources.
- \_\_\_\_\_ 2. Use community agencies or services as safety resources.
- \_\_\_\_\_ 3. Have the alleged perpetrator leave the home, either voluntarily or in response to legal action.
- \_\_\_\_\_ 4. Have the non-maltreating caregiver move to a safe environment with the child.
- \_\_\_\_\_ 5. Other: \_\_\_\_\_
- \_\_\_\_\_ 6. The caregiver(s) places the child outside the home (in a safe arrangement). Note: include explanation below regarding why responses 1-5 could not be used to keep the child(ren) safe, in the home.
- \_\_\_\_\_ 7. Legal action must be taken to place the child(ren) outside the home. Note: include explanation below regarding why responses 1-5 could not be used to keep the child(ren) safe, in the home.

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**SECTION 3: SAFETY DECISION**

Directions: Identify your safety decision by checking the appropriate line below. Check one line only. This decision should be based on the assessment of all safety factors and any other information known about this case. If "B" or "C" is checked, Section 2 must be completed. "A" is to be checked only if no safety factors were indicated in Section 1, Part A.

- A. **Safe:** \_\_\_\_\_ There are no children likely to be in immediate danger of serious harm.
- B. **Conditionally Safe:** \_\_\_\_\_ Controlling safety interventions have been implemented since the report was received, and those interventions will adequately provide for the child's safety for the immediate future.
- C. **Unsafe:** \_\_\_\_\_ **Child(ren) is likely to be in danger of immediate harm.** Remove child(ren) from the home.

**SECTION 4: SIGNATURES**

\_\_\_\_\_  
Parent/Caregiver                      Date

\_\_\_\_\_  
Safety Resource                      Date

\_\_\_\_\_  
Parent/Caregiver                      Date

\_\_\_\_\_  
Safety Resource's Relationship to Child

\_\_\_\_\_  
Parent/Caregiver                      Date

\_\_\_\_\_  
Safety Resource                      Date

\_\_\_\_\_  
Parent/Caregiver                      Date

\_\_\_\_\_  
Safety Resource's Relationship to Child

\_\_\_\_\_  
Social Worker                      Date

\_\_\_\_\_  
Supervisor                      Date

**NORTH CAROLINA  
SAFETY ASSESSMENT  
DEFINITIONS**

**SECTION 1: SAFETY ASSESSMENT**

**Part A. Safety Factor Identification**

Safety factor examples which establish parameters for checking a particular safety factor are provided below. The examples should not be considered complete descriptions of all possible circumstances related to the factors. Other behaviors or conditions may be associated with each listed factor and may also be indicative of the **possibility of immediate danger of serious harm**. Recency of the behavior or condition should also be considered; that is, the situation currently present, likely to occur in the immediate future, or occurred in the recent past. The examples should not be construed as necessarily equating with an "unsafe" decision but rather as "red flag alerts" to the possibility that the child may be unsafe.

The primary caregiver is the adult (typically the parent) living in the household who assumes the most responsibility for childcare. When two adult caregivers are present and the worker is in doubt about which one assumes the most child care responsibility, the adult legally responsible for the children involved in the incident should be selected. If this rule does not resolve the question, the legally responsible adult who is an alleged perpetrator should be selected. **Only one primary caregiver can be identified.**

The secondary caregiver is defined as an adult living in the household who has routine responsibility for childcare, but less responsibility than the primary caregiver. A living together partner can be a secondary caregiver even though they have minimal responsibility for the care of the children.

If the report involves two households, a separate safety assessment shall be conducted on both households. An example would be the child lives with one parent and visits the other parent on the weekend.

1. Caregiver's current behavior is violent or out of control.
  - Extreme physical or verbal, angry or hostile outbursts at child.
  - Use of brutal or bizarre punishment (e.g., scalding with hot water, burning with cigarettes, forced feeding).
  - Domestic violence likely to have a negative impact on the child.
  - Use of guns, knives, or other instruments in a violent way.
  - Shakes or chokes baby or young child to stop a particular behavior.
  - Behavior that seems out of touch with reality, fanatical, or bizarre.
  - Behavior that seems to indicate a serious lack of self-control (e.g., reckless, unstable, raving, explosive).
  
2. Caregiver describes or acts toward child in predominantly negative terms or has extremely unrealistic expectations.
  - Describes child as evil, stupid, ugly, or in some other demeaning or degrading manner.
  - Curses and/or repeatedly puts child down.
  - Scapegoats a particular child in the family.
  - Expects a child to perform or act in a way that is impossible or improbable for the child's age (e.g., babies and young children expected to be toilet trained or eat neatly, expected to care for younger siblings, expected to stay alone).
  - Child is seen by either parent as responsible for the parent's problem.
  - Uses sexualized language to describe child or in name calling (e.g., whore, slut, etc.).
  
3. Caregiver caused serious physical harm to the child or has made a plausible threat to cause serious physical harm.
  - Caregiver caused serious non-accidental abuse or injury (e.g., fractures, poisoning, suffocating, shooting, burns, bruises, welts, bite marks, choke marks, etc.).
  - An action, inaction, or threat which would result in serious harm (e.g., kill, starve, lock out of home, etc.).
  - Plans to retaliate against child for CPS assessment.
  - Caregiver has used torture or physical force which bears no resemblance to reasonable discipline, or punished child beyond the duration of the child's endurance.
  - One or both parents fear they will maltreat child and/or request placement.

4. The family refuses access to the child, there is reason to believe that the family is about to flee, and/or the child's whereabouts cannot be ascertained.
  - Family has previously fled in response to a CPS assessment.
  - Family has removed child from a hospital against medical advice.
  - Family has history of keeping child at home, away from peers, school, or other outsiders for extended periods.
5. Caregiver has not, or will not, provide supervision necessary to protect child from potentially serious harm.
  - Caregiver does not attend to child to such an extent that the need for care goes unnoticed or unmet (e.g., although caregiver is present, child wanders outdoors alone, plays with dangerous objects, plays on unprotected window ledge, or is exposed to other serious hazards).
  - Caregiver leaves child alone (time period varies with age and developmental stage).
  - Caregiver makes inadequate and/or inappropriate babysitting or child care arrangements or demonstrates very poor planning for child's care.
  - Parents whereabouts are unknown.
6. Caregiver is unwilling, or is unable, to meet the child's immediate needs for food, clothing, shelter, and/or medical or mental health care.
  - No food provided or available to child, or child starved or deprived of food or drink for prolonged periods.
  - Child without minimally warm clothing in cold months.
  - No housing or emergency shelter; child must or is forced to sleep in the street, car, etc.; housing is unsafe, without heat, etc.
  - Caregiver does not seek treatment for child's immediate and dangerous medical condition(s) or does not follow prescribed treatment for such condition(s).
  - Child appears malnourished.
  - Child has exceptional needs which parent cannot/will not meet.
  - Child is suicidal and parent will not take protective action.
  - Child shows effects of maltreatment, such as serious emotional symptoms and lack of behavior control or serious physical symptoms.
7. Caregiver has previously maltreated a child and the severity of the maltreatment, or the caregiver's response to the previous incident(s), suggests that child safety may be an immediate concern.
  - Previous maltreatment that was serious enough to cause or could have caused severe injury or harm.
  - Caregiver has retaliated or threatened retribution against child for past incidents.
  - Escalating pattern of maltreatment.
  - Caregiver does not acknowledge or take responsibility for prior inflicted harm to the child or explains incident (s) as justified.
  - Both parents cannot/do not explain injuries and/ or conditions.
8. Child is fearful of caregiver(s), other family member, or other people living in or having access to the home.
  - Child cries, cowers, cringes, trembles, or otherwise exhibits fear in the presence of certain individuals or verbalizes such fear.
  - Child exhibits severe anxiety (i.e., nightmares, insomnia) related to situation (s) associated with a person (s) in the home.
  - Child has reasonable fears of retribution or retaliation from caregiver.
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9. The child's physical living conditions are hazardous and immediately threatening.
  - Leaking gas from stove or heating unit.
  - Dangerous substances or objects stored in unlocked lower shelves or cabinets, under sink or in open.
  - Lack of water or utilities (heat, plumbing, and electricity) and no alternate provisions made or alternate provisions are inappropriate (e.g., stove, unsafe space heaters for heat).
  - Open/broken/missing windows.
  - Exposed electrical wires.
  - Excessive garbage or rotted or spoiled food which threatens health.
  - Serious illness or significant injury has occurred due to living conditions and these conditions still exist (e.g., lead poisoning, rat bites).
  - Evidence of human or animal waste throughout living quarters.

- Guns and other weapons are not locked.
10. Child sexual abuse is suspected and circumstances suggest that child safety may be an immediate concern.
- Access by possible or confirmed perpetrator to child continues to exist.
  - It appears that caregiver or other person has committed rape, sodomy, or has had other sexual contact with child.
  - Caregiver or others have forced or encouraged child to engage in sexual performances or activities.
11. Caregiver's drug or alcohol use seriously affects his/her ability to supervise, protect, or care for the child.
- Caregiver has misused a drug(s) or alcoholic beverage(s) to such an extent that control of his or her actions is lost or significantly impaired. As a result, the caregiver is unable, or will likely be unable, to care for the child, has harmed the child, or is likely to harm the child.
12. Other (specify):
- Possible examples:
- Child's behavior likely to provoke caregiver to harm the child.
  - Unexplained injuries.
  - Abuse or neglect related to child death, or unexplained child death.
  - Serious allegations with significant discrepancies or contradictions by caregiver or between caregiver, and collateral contacts.
  - Caregiver refuses to cooperate or is evasive.
  - Criminal behavior occurring in the presence of the child, or the child is forced to commit a crime(s) or engage in criminal behavior.

**NORTH CAROLINA  
SAFETY ASSESSMENT  
POLICY AND PROCEDURES**

The purpose of the safety assessment is to help assess whether a child(ren) is likely to be in immediate danger of serious physical harm which may require a protective intervention and to determine what interventions should be maintained or initiated to provide appropriate protection.

It is important to keep in mind the difference between safety and risk when completing this form. Safety assessment differs from risk assessment in that safety assesses the child's present danger and determines the interventions immediately needed to protect the child. In contrast, the family risk assessment looks at the likelihood of future maltreatment.

**Which cases:** All CPS maltreatment reports assigned for an assessment that involve a family caregiver. **This does not apply** to reports involving childcare facilities or residential facilities such as group homes or DHHS facilities. This tool shall be used to determine if immediate danger of harm is present in a non-licensed living arrangement the non-custodial parent's home or licensed family foster homes.

**Who completes:** Social worker assigned to complete the assessment. In reciprocal county investigations, the home county shall conduct the safety assessment and provide the assessment to the investigating county. If a child is found in one county and resides in another, the county where the child is found conducts the safety assessment and forwards the assessment to the county of residence.

**When:** The safety assessment shall be completed and documented at the time of the initial home visit, and prior to allowing the child to remain in the household; prior to the case decision; prior to the removal of a child from the home; prior to the return home in cases where the caregiver temporarily places the child outside the home as a part of the safety response; at any point a new report is received; and at any other point that safety issues are revealed. (This may mean completing more than one Safety Assessment if needed).

**Decision:** The safety assessment is used to guide decision making in the removal and return of children to families. It also guides decision making on factors that, if not addressed, threaten immediate harm to children. A safety plan (Section 2, Safety Response) is required for all children assessed unsafe on any safety factor.

**Appropriate completion:** The safety assessment has four sections: safety assessment, safety response, safety decision, and signatures. Each section is preceded by instructions for appropriate completion. The list of safety factors are behaviors or conditions that may be associated with a child being in danger of serious harm. The vulnerability of each child needs to be considered throughout the assessment. Younger children and children with diminished mental or physical capacity or children with repeated victimization should be considered more vulnerable. Complete each factor based on the most vulnerable child.

**Section 1: Safety Assessment**

The list of factors under Part A are behaviors or conditions that may be associated with a child being in immediate danger of serious harm. Identify the presence or absence of each factor by circling either "yes" or "no."

In Part B, for all safety factors marked "yes," note the applicable safety factor number and then briefly describe the specific individual's behaviors, conditions, and/or circumstances associated with that particular safety factor. If no safety factors are present, skip Part B and go to Section 3: Safety Decision.

**Section 2: Safety Response**

A safety intervention is any action taken by staff or others to remediate the unsafe condition identified in the assessment while services are provided to the family. Safety Responses (1-7) are used to indicate the controlling interventions utilized by the assigned social worker.

In filling out this section, keep in mind: 1) are the safety response actions sufficient? and 2) is the family willing and able to participate in these actions at a level sufficient to protect the child(ren)? If safety resources are being utilized, it should be documented in the safety response area what the safety resource specifically agrees to do to ensure the safety of the children.

**Note:** At any point that the caregivers arrange an alternative placement with another resource (family, friend or etc.), the social worker is responsible for assessing the safety of this arrangement to include the kinship care tools or other means of assessment.

**Section 3: Safety Decision**

The assigned social worker and supervisor completing the assessment make a determination of **safe, conditionally safe, or unsafe**, based on whether controlling interventions can mitigate the unsafe factor(s) identified. The safety decision should reflect the situation at the time the safety assessment is being completed.

- A. A child is "safe" if no child in the family is in danger of immediate harm as indicated by scoring all Safety Factors in Section 1, Part A. "no."
- B. A child is "conditionally safe" if Safety Responses (1-5) allow the child to remain in the family home while services are provided.
- C. A child is "unsafe" if the only controlling intervention is removal of the child(ren) from the family home. This includes both short-and long-term placement.

**Section 4: Signatures**

Signatures of parents/caregivers, safety resources, social worker and supervisor are required if the Safety Decision is conditionally safe or unsafe. No signature from the parent/caregivers or safety resource are required if the safety decision is safe; however the social worker and supervisor must still sign. Fill in safety resource's relationship to the child. If a safety resource is utilized, the relationship of the resource to the child shall be documented in the appropriate location. The caregivers and the safety resources shall be given a copy of the Safety Assessment.