

**NORTH CAROLINA  
FAMILY ASSESSMENT OF STRENGTHS AND NEEDS**

Case Name: \_\_\_\_\_ Case #: \_\_\_\_\_ Date \_\_\_\_\_  
 County Name: \_\_\_\_\_ Date Report Received: \_\_\_\_\_  
 Social Worker Name: \_\_\_\_\_ Circle either Initial or Reassessment #: 1 2 3 4 5: \_\_\_\_\_  
 Children: \_\_\_\_\_  
 Caregiver(s): \_\_\_\_\_

Some items apply to all household members while other items apply to caregivers only. Assess items for the specified household members, selecting one score only under each category. Household members may score differently on each item. When assessing an item for more than one household member, record the score for the household member with the greatest need (highest score).

Caregivers are defined as adults living in the household who have routine responsibility for child care. For those items assessing caregivers only, record the score for the caregiver with the greatest need (highest score) when a household has more than one caregiver.

S-CODE	TITLE	SCORE
<b>S1. Emotional/Mental Health</b>	a. Demonstrates good coping skills .	-3
	b. No known diagnosed mental health problems.	0
	c. Minor or moderate diagnosed mental health problems	.3
	d. Chronic or severe diagnosed mental health problems	.5 _____
<b>S2. Parenting Skills</b>	a. Good parenting skills.	-3
	b. Minor difficulties in parenting skills	.0
	c. Moderate difficulties in parenting skills	.3
	d. Destructive parenting patterns	.5 _____
<b>S3. Substance Use</b>	a. No/some substance use	.0
	b. Moderate substance use problems	.3
	c. Serious substance use problems	.5 _____
<b>S4. Housing/Environment/ Basic Physical Needs</b>	a. Adequate basic needs	-3
	b. Some problems, but correctable	0
	c. Serious problems, not corrected	3
	d. Chronic basic needs deficiency	5 _____
<b>S5. Family Relationships</b>	a. Supportive relationships	-2
	b. Occasional problematic relationship (s)	.0
	c. Domestic discord	.2
	d. Serious domestic discord/domestic violence	.4 _____
<b>S6. Child Characteristics</b>	a. Age-appropriate, no problem	-1
	b. Minor problems	0
	c. One child has severe/chronic problems	1
	d. Child(ren) have severe/chronic problem(s)	3 _____
<b>S7. Social Support Systems</b>	a. Strong support network	-1
	b. Adequate support network	.0
	c. Limited support network	.1
	d. No support or destructive relationships	.3 _____

<b>S8. Caregiver(s) Abuse/ Neglect History</b>	a. No evidence of problem .....0 b. Caregiver(s) abused/neglected as a child ..... 1 c. Caregiver(s) in foster care as a child .....2 d. Caregiver(s) perpetrator of abuse/neglect in the last five years.....3_____
<b>S9. Communication/ Interpersonal Skills</b>	a. Strong skills ..... -1 b. Appropriate skills ..... 0 c. Limited or ineffective skills ..... 1 d. Hostile/destructive ..... 2_____
<b>S10. Caregiver(s) Life Skills</b>	a. Good life skills.....-1 b. Adequate life skills .....0 c. Poor life skills .....1 d. Severely deficient life skills ..... 2_____
<b>S11. Physical Health</b>	a. No adverse health problem .....0 b. Health problem or disability ..... 1 c. Serious health problem or disability .....2_____
<b>S12. Employment/Income Management</b>	a. Employed .....-1 b. No need for employment .....0 c. Underemployed .....1 d. Unemployed .....2_____
<b>S13. Community Resource Utilization</b>	a. Seeks out and utilizes resources .....-1 b. Utilizes resources ..... 0 c. Resource utilization problems ..... 1 d. Refusal to utilize resources ..... 2_____

Based on this assessment, identify the primary strengths and needs of the family. Write S code, score, and title.

<u><b>STRENGTHS</b></u>			<u><b>NEEDS</b></u>		
<u>S Code</u>	<u>Score</u>	<u>Title</u>	<u>S Code</u>	<u>Score</u>	<u>Title</u>
1.	_____	_____	1.	_____	_____
2.	_____	_____	2.	_____	_____
3.	_____	_____	3.	_____	_____

**Children/Family Well-Being Needs:**

1. Educational Needs: \_\_\_\_\_
2. Physical Health Needs: \_\_\_\_\_
3. Mental Health Needs: \_\_\_\_\_

Social Worker: \_\_\_\_\_ Date: \_\_\_\_\_

Supervisor's Review/Approval: \_\_\_\_\_ Date: \_\_\_\_\_

**NORTH CAROLINA  
FAMILY ASSESSMENT OF STRENGTHS AND NEEDS  
DEFINITIONS**

Some items apply to all household members while other items apply to caregivers only. Persons who spend most of their time in the home, (e.g., mother's boyfriend who may have a different address, but stays in the home most evenings) are considered household members. Assess items for the specified household members, selecting one score only under each category. Household members may score differently on each item. When assessing an item for more than one household member, record the score for the household member with the greatest need (highest score). In cases where two households are involved, a separate Family Strengths and Needs Assessment shall be completed on both households.

**S1. Emotional/Mental Health**

- a. Demonstrates good coping skills.  
Caregiver(s) takes initiative to deal with problems in a constructive manner.
- b. No known diagnosed mental health problems.  
Caregiver(s) has no known diagnosed emotional or mental health problems. May require a mental health evaluation.
- c. Minor or moderate diagnosed mental health problems.  
Caregiver(s) has moderate diagnosed emotional or mental health disorders (such as depression, anxiety, and anger/impulse control) that interfere with ability to problem solve, deal with stress, and effectively care for self and/or child(ren).
- d. Chronic or severe diagnosed mental health problems.  
Caregiver(s) has severe and/or chronic diagnosed emotional or mental health disorders making caregiver(s) incapable of problem solving, dealing with stress, or effectively caring for self and/or child(ren).

**S2. Parenting Skills**

- a. Good parenting skills.  
Caregiver(s) displays parenting patterns which are age appropriate for child(ren) in the areas of expectations, discipline, communication, protection, and nurturing.
- b. Minor difficulties in parenting skills.  
Caregiver(s) has basic knowledge and skills to parent but may possess some unrealistic expectations and/or may occasionally utilize inappropriate discipline.
- c. Moderate difficulties in parenting skills.  
Caregiver(s) acts in an abusive and/or neglectful manner, such as causing minor injuries (no medical attention required), leaving child(ren) with inadequate supervision, and/or exhibiting verbal/emotional abusive behavior.
- d. Destructive parenting patterns.  
Caregiver(s) has a history and/or currently acts in a manner that results in high risk of serious injury or death of a child, or results in chronic or serious injury (medical attention required), abandonment or death of a child. Caregiver(s) exhibits chronic and severe verbal/emotional abuse.

**S3. Substance Use**

- a. No/some substance use.  
Household members display no substance use problems or some substance use problems that minimally impact family functioning.
- b. Moderate substance use problems.  
Household members have moderate substance use problems resulting in such things as disruptive behavior and/or family dysfunction which result in a need for treatment.
- c. Serious substance use problems.  
Household members have chronic substance use problems resulting in a chaotic and dysfunctional household/lifestyle, loss of job, and/or criminal behavior.

**S4. Housing/Environment/Basic Physical Needs**

- a. Adequate basic needs.  
Family has adequate housing, clothing, and food.
- b. Some Problems. but correctable.  
Family has correctable housing, clothing and food problems that affect health and safety needs and family is willing to correct.
- c. Serious problems, not corrected.  
Numerous and/or serious housing, clothing and food problems that have not been corrected or are not easily correctable and family is not willing to correct.
- d. Chronic basic needs deficiency.  
House has been condemned or is uninhabitable, or family is chronically homeless and without clothing and/or food.

**S5. Family Relationships**

- a. Supportive relationship.  
A supportive relationship exists between household members.
- b. Occasional problematic relationship(s). Relationship(s) is occasionally strained but not disruptive.
- c. Domestic discord.  
Current marital or domestic discord, including threats, intimidation, degradation, or blaming  
Open disagreement on how to handle child problems/discipline. Frequent and/or multiple transient household members. Minor or no injury to any household member.
- d. Serious domestic discord/domestic violence.  
A pattern of marital discord or domestic violence. Repeated history of leaving and returning to abusive partner(s). Involvement of law enforcement, restraining orders, and/or criminal reports. Serious or repeated injuries to any household member.

**S6. Child Characteristics**

- a. Age-appropriate, no problems.  
Child(ren) appears to be age appropriate, no problems.
- b. Minor problems.  
Child(ren) has minor physical, emotional, medical, educational, or intellectual difficulties addressed with minimal or routine intervention.
- c. One child has severe/chronic problems.  
One child has severe physical, emotional, medical, educational, or intellectual problems resulting in substantial dysfunction in school, home, or community which strain family finances and/or relations.
- d. Children have severe/chronic problem.  
**More** than one child has severe physical, emotional, medical, or intellectual problems resulting in substantial dysfunction in school, home, or community which strain family finances relationships.

**S7. Social Support Systems**

- a. Strong support network.  
Household members have a strong, constructive support network. Active extended family (may be blood relations, kin, or close friends) provide material resources, child care, supervision, role modeling for parent and child(ren), and/or parenting and emotional support.
- b. Adequate support network.  
Household members use extended family, friends, and the community to provide adequate support for guidance, access to child care, available transportation, etc.
- c. Limited support network.  
Household members have a limited or negative support network, are isolated, and/or reluctant to use available support.
- d. No support or destructive relationships.  
Household members have no support network and/or have destructive relationships with extended family and the community.

**S8. Caregiver(s) Abuse/Neglect History**

- a. No evidence of problem.  
No caregiver(s) experienced physical or sexual abuse or neglect as a child.
- b. Caregiver(s) abused or neglected as a child.  
Caregiver(s) experienced physical or sexual abuse, or neglect as a child.
- c. Caregiver(s) in foster care as a child.  
Caregiver(s) abused and/or neglected as a child and was in foster care or other out-of-home placement due to abuse/neglect.
- d. Caregiver(s) perpetrator of abuse and/or neglect .  
Caregiver(s) is a substantiated perpetrator of physical and/or sexual abuse, or neglect.

**S9. Communication/Interpersonal Skills**

- a. Strong skills.  
Communication facilitates family functions, personal boundaries are appropriate, emotional attachments are appropriate .
- b. Appropriate skills.  
Household members are usually able to communicate individual needs and needs of others and to maintain both social and familial relationships; minor disagreements or lack of communication occasionally interfere with family interactions.
- c. Limited or ineffective skills.  
Household members have limited or ineffective interpersonal skills which impair the ability to maintain positive familial relationships, make friends, keep a job, communicate individual needs or needs of family members to schools or agencies.
- d. Hostile/destructive.  
Household members isolate self/others from outside influences or contact, and/or act in a hostile/destructive manner, and/or do not communicate with each other. Negative communication severely interferes with family interactions.

**S10. Caregiver(s) Life Skills**

- a. Good life skills.  
Caregiver(s) manages the following well: budgeting, cleanliness, food preparation and age appropriate nutrition, housing stability, recognition of medical needs, recognition of educational needs, and problem solving.
- b. Adequate life skills.  
Minor problems in some life skills do not significantly interfere with family functioning; caregiver(s) seeks appropriate assistance as needed.
- c. Poor life skills.  
Caregiver(s) has poor life skills which create problems and interfere with family functioning; caregiver(s) does not appropriately utilize available assistance.
- d. Severely deficient life skills.  
Deficiencies in life skills severely limit or prohibit ability to function independently and to care for child(ren); caregiver(s) is unable to or refuses to utilize available assistance.

**S11. Caregiver's Physical Health**

- a. No adverse health problem.  
Caregiver(s) does not have health problems that interfere with the ability to care for self or child(ren).
- b. Health problem or disability.  
Caregiver(s) has a disability, disease or chronic illness that interferes with daily living and/or ability to care for self or child(ren).
- c. Serious health problem or disability.  
Caregiver(s) has a disability, disease or chronic illness that severely limits or prohibits ability to provide; for self or child(ren).

**S12. Employment/Income Management**

- a. Employed.  
Caregiver(s) is employed with sufficient income to meet household needs, regardless of source of income.
- b. No need for employment.  
Caregiver(s) may be out of labor force but has sufficient income to meet household needs, regardless of source of income.
- c. Underemployed.  
Caregiver(s) is employed with insufficient income to meet household needs.
- d. Unemployed.  
Caregiver(s) needs employment and lacks income required to meet household needs.

**S13. Community Resource Utilization**

- a. Seeks out and utilizes resources.  
Household members take initiative to access community resources that are available, or seek out those not immediately available in the community, or have no need for community resources.
- b. Utilizes resources.  
Household members access resources and services available in the community.
- c. Resource utilization problems.  
Household members do not know about and/or do not access community resources.
- d. Refusal to utilize resources.  
Household members refuse to accept available community services when offered.

**Children/Family Well-Being**

In cases that are substantiated and opened for more than thirty days from the date of substantiation, there shall be documentation in the case record that includes the following items as they are applicable:

**Child/Family Education Needs:**

- a. Special education classes, when applicable;
- b. Normal grade placement, if child is school age;
- c. Services to meet the identified educational needs, unless no unusual educational needs are identified;
- d. Early intervention services, unless these services are not needed;
- e. Advocacy efforts with the school, unless the child is not school age or there have been no identified needs that are unmet by the school; and
- f. How the educational needs of the child/family have been included in the case planning, unless the child is not school age or has no identified education needs.

**Child/Family Physical Health Needs:**

- a. Whether the child/family has received preventive health care and if not, the efforts the agency will take to ensure that this care is obtained;
- b. Whether the child/family has received preventive dental care and if not, the efforts the agency will take to ensure that this care is obtained;
- c. Whether the child/family has up-to-date immunizations and if not, what efforts the agency will take to obtain them;
- d. Whether the child/family is receiving treatment for identified health needs and if not, what efforts the agency will take to obtain the treatment;
- e. Whether the child/family is receiving treatment for identified dental needs and if not, what efforts the agency will take to obtain the treatment.

**Child/Family Mental Health Needs**

Whether the child/family is receiving appropriate treatment for any identified mental health needs and if not, what efforts the agency will take to obtain such treatment.

**This information shall be documented on the Family Strengths and Needs Assessment..**

NORTH CAROLINA  
FAMILY ASSESSMENT OF STRENGTHS AND NEEDS  
POLICY AND PROCEDURES

The family assessment of strengths and needs is a tool designed to evaluate the presenting strengths and needs of the family of a child alleged to have been a CA/N victim. The strengths and needs assessment assists the worker in determining areas of family strengths and needs that can be utilized in case planning.

**Which cases:** All CPS maltreatment reports assigned for an assessment that involve a family caregiver. This does not apply to reports involving child care facilities, residential facilities such as group homes or DHHS facilities. This does apply to non-licensed living arrangements, the non-custodial parents home or licensed family foster homes.

**Who completes:** Social Worker assigned to complete the assessment.

**When:** The Family Assessment of Strengths and Needs is to be completed and documented prior to the time the case decision is made. It is one of the elements considered in making the case decision. The same form is used for the Family Strengths and Needs Assessment for all subsequent reassessments until the case is closed.

In CPS Investigative/Family Assessments, the Family Strengths and Needs Assessment tool shall be completed prior to the case decision.

In CPS Case Planning and Case Management, the Family Strengths and Needs Assessment shall be completed at the time of the In-home Family Services Agreement updates and within 30 days prior to case closure.

In Foster Care Placement (whether the agency holds legal custody and the child remains in the home or is placed outside of the home), the Family Strengths and Needs Assessment shall track with the required scheduled Permanency Planning Action Team meetings. The assessment shall also be completed within 30 days prior to any court hearing or review. (If reviews are held frequently, documentation on the Family Strengths and Needs Assessment form may state that there have been no changes since the last update and that the current information is correct.)

The Family Strengths and Needs Assessment shall be completed when the agency has legal custody and the child has been placed back in the home for a trial home visit and a Permanency Planning Action Team meeting falls within that trial home visit period.

**Decision:** The assessment of strengths and needs identifies the strengths and highest priority needs of caregivers and children that must be addressed in the service agreement. Goals, objectives, and interventions in a service agreement should relate to one or more of the priority needs. If the child(ren) has more than one chronic/severe problem, all should be listed under children's well-being needs.

**Appropriate  
Completion**

Each family is assessed completing all items on the Strengths and Needs Assessment scale for the caregiver(s). As used here, "caregiver" means the person or persons who routinely are responsible for providing care, supervision, and discipline to the children in the household. This may include biological, adoptive or step-parents, other legal guardian, or other adults living in the home who have caregiver responsibilities. If the allegations involve maltreatment in two households and both have responsibilities for childcare, complete two separate Family Assessment of Strengths and Needs tools. In situations where the parents are not living together, a Family Assessment of Strengths and Needs tool will only be completed on the home of the alleged perpetrator.

In situations where an adult relative is entrusted with the care of the child and is the alleged perpetrator, the Family Assessment of Strengths and Needs tool is conducted in the home where the child resides.

**Scoring Individual items:**

Select one score only under each item which reflects the highest level of need for any caregiver in the family, and enter in the "Score" column. For example, if the mother has some substance abuse problems and the father has a serious substance abuse problem, item S3 would be scored "5" for serious substance use problems."

The worker will list in order of greatest to least, the strengths and needs identified. These strengths and needs will be utilized in the case planning process.

**Children/Family Well-Being Needs**

In completing a Family Assessment of Strengths and Needs, several factors identify data related to the family and child's well-being. List those factors identified as specific family and child needs (health, mental health, educational needs). See DEFINITIONS section for examples.